

Direct Owners/Executive Officers

Organization CRD Number: 7059

Organization Name: CITIGROUP GLOBAL MARKETS INC.

Organization SEC Number: 8-8177

Applicant Name: CITIGROUP GLOBAL MARKETS INC.

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Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
VALDERRABANO, VALENTIN LUIS	Individual	DIRECTOR	10/2023	Less than 5%	Y	N	7588283
TROMBETTA, SANTO VITO	Individual	PRINCIPAL OPERATIONS OFFICERS (FINOP)	07/2015	Less than 5%	Y	N	2068210
CITIGROUP FINANCIAL PRODUCTS INC.	Domestic Entity	100% SHAREHOLDER	09/1998	75% or more	Y	N	13-3240203
CHIRICO, JOHN A	Individual	DIRECTOR	02/2021	Less than 5%	Y	N	2916366
KLEIN, ROBERT FRANCIS	Individual	CO-GENERAL COUNSEL	03/2021	Less than 5%	Y	N	2937015
RICHARDSON, CYRUS BEGLEY III	Individual	CHIEF COMPLIANCE OFFICER	05/2024	Less than 5%	Y	N	5284855
VALENTI, JOHN ANGELO	Individual	CHIEF FINANCIAL OFFICER (INTERIM)	03/2024	Less than 5%	Y	N	1919052
PLATT, DANA LYNN	Individual	CHIEF COMPLIANCE OFFICER - INVESTMENT ADVISORY BUSINESSES	03/2013	Less than 5%	Y	N	6123347
MESHEL, ADAM REID	Individual	CO-GENERAL COUNSEL	04/2013	Less than 5%	Y	N	3101145
DHOUBI, STEPHANIE ANISSA	Individual	DIRECTOR	03/2022	Less than 5%	Y	N	7189271
VAROUS, DINA	Individual	DIRECTOR/CEO/PRESIDENT/CHAIRWOMAN	04/2024	Less than 5%	Y	N	5012034