Organization Indirect Owners

Organization CRD Number: 7059 Organization SEC Number: 8-8177

Organization Name: CITIGROUP GLOBAL MARKETS INC. Applicant Name: CITIGROUP GLOBAL MARKETS INC.

View IA Record

| Full Legal Name | Domestic, Foreign, Individual | Entity in Which Interest is Owned | Status | Date Status Acquired | Ownership Code | Control person | Public Reporting Company | CRD#, EIN, SSN, IRS# |
|--|-------------------------------------|---|---------------------|----------------------------|-------------------|-------------------|--------------------------------|-------------------------------|
| CITIGROUP INC. | Domestic Entity | CITIGROUP GLOBAL MARKETS HOLDINGS INC. | 100% SHAREHOLDER | 11/1997 | 75% or more | Y | Y | 52- 1568099 |
| CITIGROUP GLOBAL MARKETS HOLDINGS INC. | Domestic Entity | OWNS 100% OF CITIGROUP FINANCIAL PRODUCTS INC. | 100% SHAREHOLDER | 09/1998 | 75% or more | Y | Y | 11- 2418067 |

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