

Citigroup Global Markets India Private Limited

Investor Complaints Data for Stock Broking Business

Data for Month Ending Sep 30, 2025

Sr No	Received From	Carried Forward from Previous Month	Received during the month	Total Pending	Resolved*	Pending at the end of the month **		Average Resolution on time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (Score)	2***	0***	0***	2***	0	0	22
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (If Any)	1~	0~	0~	1~	0	0	19
5	Grand Total	3	0	0	3	0	0	0

*Include complaints of previous months resolved in the current month, if any.

** Include total complaints pending as on the last day of the month, if any

*** The complaints received on SCORES are not from clients of Citigroup Global Markets India Private Limited

~ The count includes complaints received on SMART ODR platform.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month. For SCORES complaints we are considering the time considered for Level 1 and Level 2 review by Exchange & SEBI.

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Received	Resolved *	Pending**
1	Apr-25	0	0	0	0
2	May-25	0	7	0	7
3	June-25	7	4	5	6
4	July-25	6	1	6	1
5	Aug-25	1	3	1	3
6	Sep-25	3	0	3	0

*Include complaints of previous months resolved in the current month, if any.

** Include total complaints pending as on the last day of the month, if any

Trend of annual disposal of complaints

Sr No	Year^	Carried Forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	0	0	0
2	2019-20	0	1	1	0
3	2020-21	0	0	0	0
4	2021-22	0	5^^	4	1
5	2022-23	1	1	2	0
6	2023-24	0	1	1	0
7	2024-25	0	1	1	0
8	2025-26*	0	15***	15	0

*Details updated as on **Sep 30th 2025**. ^Annual trend details are provided by Financial Year.

*** The complaints received on SCORES & ODR are not from clients of Citigroup Global Markets India Private Limited

^^ Includes one complaint received in the month of April 2021 where the complainant was not our client and accordingly complaint was responded.