Addition/Removal of FPI - DDP ([DELETE ANY EMPTY ROWS / FULL TABLE IF NO		
Addition of FPI	Removal of FPI	
FPI Registration No.*	FPI Registration No.*	Date of Registration*

Note:

1. In case of Fresh registration /Inward transition of an FPI, the Registration number of the FPI shall first be added with the help of 'Addition of FPI' Table, without which no other reports are allowed can be submitted.

2. In case of Inward Transition, If the FPI is associated with any other DDP, the addition of the FPI by new DDP cannot not be processed. Hence, the addition of the FPI shall be submitted only after the removal of such FPI processed by other DDP.

3. In case of Outward Transition or Surrender, the DDP shall remove the FPIs using the 'Removal of FPI' table

4. Excess rows of the table and, all the cells of unused columns (including table headers) must be deleted before generating the XML file.

5. The report on Addition or Removal of FPIs is not periodic, and can be submitted by the DDP at any point in time.

FPI Changes in Material Information *[DELETE ANY EMPTY ROWS / FULL TABLE IF NOT APPLICABLE]*

FPI Registration No.*	Change Type. *	Nature of change in material information*	Effective date of change*	Date of initial intimation of change by FPI*	Date of receipt of complete documents *	Number of days of delay in intimation	Number of days of delay in submitting complete documents to DDP	Date of intimation by DDP to SEBI by email (mandatory for Type I Changes)	Prior to Change in Material Information *	Post Change in Material Information *	List of earlier names of the FPI	Reason for delay in intimation *
	Type_I	Change of Jurisdiction										
	Type_I	Name change on account of acquisition, merger, demerger, restructuring, change of ownership/control										
	Type_I	Acquisition/merger/demerger resulting in cessation of existence of FPI										
	Type_I	Restructuring of legal form/sub-category										
	Type_I	Change in regulatory status										
	Type_I	Change in compliance status of FPI/BO in terms of Regulation 4(d), 4(e) or 4(f) of the FPI Regulations, 2019										
	Type_I	Reclassification from Category I to Category II										
	Type_I	Addition of FPI(s) to any existing/new investor group(s)										
	Type_I	FPIs obtaining registration under Category-I on support of an Investment Manager (IM) and such IM being either removed (temporarily/permanently) or losing its Category I eligibility										
	Type_I	Breach of prescribed threshold for aggregate contribution of NRIs, OCIs and Ris										
	Type_I	Any penalty, pending litigation or proceedings, findings of inspections or investigations for which action may have been taken or is in the process of being taken by an overseas regulator										
	Type_I	Changes which impact any exemption granted in terms of SEBI Circular dated August 24, 2023										
	Type_I	Breach of any of the eligibility criteria as specified under Regulation 4 of FPI Regulations, 2019										
	Type_I	Addition of new Shareclass/Sub fund/equivalent structure										
	Type_I	Others										

FPI Changes in Material Information *[DELETE ANY EMPTY ROWS / FULL TABLE IF NOT APPLICABLE]*

FPI Registration No.*	Change Type. *	Nature of change in material information*	Effective date of change*	Date of initial intimation of change by FPI*	Date of receipt of complete documents *	Number of days of delay in intimation	Number of days of delay in submitting complete documents to DDP	Date of intimation by DDP to SEBI by email (mandatory for Type I Changes)	Prior to Change in Material Information *	Post Change in Material Information *	List of earlier names of the FPI	Reason for delay in intimation *
	Type_II	Change in Name, not covered under Type I change										
	Type_II	Change in BO by Ownership										
	Type_II	Change in BO by Control										
	Type_II	Change in Senior Managing Official/Director										
	Type_II	De-grouping of the FPI										
	Type_II	Change in the details/documents of NRI/OCI/RI Individuals (applicable only for IFSCA FPIs as provided under June 27 Circular)										
	Type_II	Others										

Note:

1. All the material change cases where the FPI intimated with a delay and/or provided supporting documents with a delay exceeding the specified timelines (7 days or 30 days) shall be submitted in the above format

2. The above Report is to be submitted within two working days after processing the reqest by the DDP

3. The material change information shall be reported separately by using the most relevant material change provided in the List of Values.

4. Any material change in BO by Ownership/Control of the FPI having an impact on the grouping shall be reported in a single report, in two separate rows, by selecting Change in BO by Control/Ownership, as applicable and Change in Grouping, duly mentioning the new Group Id in Column K.

5. DDP shall independently assess and/or obtain required documents/declarations from the FPI to confirm that the there is no change in the eligibility of the FPI or Investor Grouping, as applicable.

FPIs Granted Exemption - DDP ([DELETE ANY EMPTY ROWS / FULL TABLE IF NOT APPLICABLE]

FPI Regn Number*	Exemption granted*	List of Documentary Evidences / Basis for granting exemption*	Remarks by DDP
	Para 8 (a)		
	Para 8 (b)		
	Para 8 (c)		
	Para 8 (d)		
	Para 8 (e)		
	Para 8 (f)		
	Para 8 (g)		
	SEBI Circular dated March 20, 2024		
	Section 43B		

Note:

1. FPI Exemption report shall be submitted by the DDP, for all the FPIs which were granted exemption by the DDP during the Quarter.

2. The Column, Documentary evidence shall be filled by the DDP providing the list of documents obtained from the FPI/Independent sources or, details of any Independent/Regulatory websites verified by the DDP based on which the Exemption has been granted to the FPI during the Quarter.

3. "Not applicable" shall be mentioned in case, documentary evidence or any independent Sources for verification was not required in accordance with the Circular or SOP, with Remarks explaining the details with reasons/ justifications.

FPI General Information - DDP

FPI General Information ***[DELETE ANY EMPTY ROWS / FULL TABLE IF NOT APPLICABLE]***

FPI Registration No.*	FPI Name*	Date of Registration*	Category of FPI*	Sub category of FPI*	Registered/ Resident State of FPI	Registered/Resident Country of FPI*	Name of central Bank of resident country of FPI	Applicable Jurisdiction*	Name of Securities Market Regulator	Bilateral MOU or not
			CAT 1	Appropriately Regulated - Asset Management Company						
			CAT 1	Appropriately Regulated - Bank						
			CAT 1	Appropriately Regulated - Broker Dealer						
			CAT 1	Appropriately Regulated - Clearing Corporation						
			CAT 1	Appropriately Regulated - Insurance or Reinsurance Entity						
			CAT 1	Appropriately Regulated - Investment Advisor						
			CAT 1	Appropriately Regulated - Investment Manager						
			CAT 1	Appropriately Regulated - Portfolio Manager						
			CAT 1	Appropriately Regulated - Stock Exchange						
			CAT 1	Appropriately Regulated Fund						
			CAT 1	Central Bank						
			CAT 1	Entity controlled or atleast 75% owned by Government and Governement related investor(s)						
			CAT 1	Entity which is atleast 75% owned by Appropriately regulated entities						
			CAT 1	Entity which is atleast 75% owned by pension fund						
			CAT 1	Entity which is atleast 75% owned by University fund						
			CAT 1	Entity which is atleast 75% owned by University related endowments						
			CAT 1	Entities whose investment manager is Category 1 FPI from FATF Member country						
			CAT 1	International / Multilateral Organizations / Agencies						
			CAT 1	Pension Fund						
			CAT 1	Sovereign Wealth Fund						
			CAT 1	Unregulated fund whose Investment Manager is Category I FPI						
			CAT 1	University Fund						
			CAT 1	University related Endowment						

FPI Registration No.*	FPI Name*	Date of Registration*	Category of FPI*	Sub category of FPI*	Registered/ Resident State of FPI	Registered/Resident Country of FPI*	Name of central Bank of resident country of FPI	Applicable Jurisdiction*	Name of Securities Market Regulator	Bilateral MOU or not
			CAT 2	Corporate Body						
			CAT 2	Charitable Organisation						
			CAT 2	Endowment/ Foundation						
			CAT 2	Familyoffice						
			CAT 2	Individual						
			CAT 2	Appropriately regulated fund not eligible as Category I-FPI						
			CAT 2	Appropriately regulated entity investing on behalf of their client						
			CAT 2	Unregulated fund - Limited Partnership/ Trust						
			CAT 2	Others						

Note:

1. FPI General Information report shall be submitted by the DDP, for all the fresh registrations/ inward transitions or if there is any change in the information of the FPI reported earlier under this report, on Quarterly basis.

2. Applicable Jurisdiction shall be the Name of the Country/Province/State as applicable for assessing the eligibility of the FPI as provided under Regulation 4(d) of SEBI (FPI) Regulations, 2019.

3. The Column, Name of the Central bank shall be provided only for those FPIs which are Banks, as provided under Regulation 4(e) of SEBI (FPI) Regulations, 2019.

4. Ensure that the name of the Country, Central bank, Securities market regulator shall match with the provided list.

5. The Column MOU Signed, shall only be provided if there is no Securities Market Regulator.

Non-submission of Granular BO Details - DDP

Non-submission of Granular BO Details ***[DELETE ANY EMPTY ROWS / FULL TABLE IF NOT APPLICABLE]***

FPI Regn Number*	Criteria for Granular Disclosures*	Date of Ineligibility/ Blocking the account*
	Para 7(a)-More than 50% in Singe Corporate group	
	Para 7(b) - More than 25000 Cr AUC	

Note:

1. The report shall be submitted by the DDP on Quarterly basis, intimating the details of FPIs whose registration has become invalid as they failed to provide the additional BO details as provided under the Circular August 24, 2023

NRI/OCI/RI Reporting - DDP						
NRI OCI RI Reporting *[DELETE ANY EMPTY ROWS / FULL TABLE IF NOT APPLICABLE]*						
FPI Registration No.*	**Whether NRI/OCI/RI individuals are in control of the FPIs.***	**Whether the applicant is controlled by an investment manager (not registered with SEBI) who in turn is controlled by NRI/OCI/RI**	**Whether the FPI is non-investing FPI ***	**Contributions by single NRI/OCI/RI including those of NRI/OCI/RI controlled Investment Manager are below 25 % and in aggregate is below 50 % of the corpus of FPI ***	**Compliance Status***	**Actual Date of Compliance**
	TRUE	TRUE	TRUE	TRUE	Complied	
	FALSE	FALSE	FALSE	FALSE	To be complied within 2 years	
					Not complied	
					Not applicable	

Note:

1. The report shall be submitted by the DDP, for all the fresh registrations or if there is any change in the information of the FPI reported earlier under this report, on Quarterly basis.

2. The Column C, whether the applicant is controlled by an Investment manager (not registered with SEBI) who in turn is controlled by NRI/OCI/RI is applicable only, if IM is in control of the FP.
If there is no IM in control of the FPI, it shall be kept blank.

3. If there is no NRI/OCI/RI constituents of the FPI, such FPIs shall be reported as having contribution by NRI/OCI/RI within the prescribed limit under Column E. In other words, if the Contribution by NRI/OCI/RI are not within the prescribed limits, then the response shall be false and in all other cases, the response shall be true.

4. Actual date of Compliance under Column G is applicable only if the FPI has complied during the period of reporting. If there is no pending compliance with NRIOCI/RI provisions, Not applicable shall be selected in Column F.

5. If the FPI is Currently not complying with the conditions of NRI/OCI/RI, however it has provided a declaration that it will comply within 2 years and the time line to comply has not expired, then "To be complied within 2 years " shall be selected.

6. If the FPI fails to comply within the prescribed timelines, then such FPI needs to be reported as "Non Compliant" under Column F.

7. If the FPI complies with the prescribed limits then such FPI shall be reported as "Complied" under column F with actual date of compliance (date not greater than the last day the reporting period).