Declaration of the Permitted Purposes for Cross Border Data Transmission by Citibank Taiwan Limited

花旗(台灣)商業銀行跨國資訊傳遞許可目的之聲明

In order to comply with the bank's confidentiality obligation under the Banking Act, Citibank Taiwan Limited (the "Bank") is required to disclose and obtain the express consent from the customers with respect to cross border data transmission and disclosure to any third parties. Capitalized terms not defined herein shall have the meaning given in the MAST, CDPC, LC, and RDDR.

為了遵守花旗(台灣)商業銀行股份有限公司(以下簡稱「花旗銀行」)於銀行法下的保密義務，花旗銀行必須揭露並取得顧客就跨境資料傳遞與揭露予第三人的明示同意。本聲明之所有定義名詞，若未於此處定義，則與「總約定條款」、「保密條款」、「當地條款」及「法定揭露及聲明事項」之定義名詞具相同意義。

Unless otherwise provided in Section 9 regarding Customer Information of the MAST, CDPC, the Terms or the Notice, you hereby expressly agree to the following:

除「總約定條款」第 9 條「顧客資料」、「保密條款」、及本「約定條款」或「告知書」另有約定外，顧客茲此明示同意：

Where the Bank uses the Confidential Information of the Customer, for the avoidance of doubt, in addition to the purposes provided in the CDPC, the "Permitted Purposes" shall also include the following:

於花旗銀行使用顧客之「機密資訊」之情形，除「保密條款」所列舉者外，為免疑義，「許可目的」亦包括下列目的：

1.

For purposes of business decision and risk management by the global or regional headquarters of Citigroup for its supervision of its subsidiaries (for example, the layered responsibility policies of the global/ regional headquarters, business statistic, analysis and planning, internal control and audit, monitoring and management of accounts, financial management, checking and adjustments of accounts, customer management, conducting "know your customers", risk management (including but not limited to credit risk, operational risk, information security management, market risk, liquidity risk, legal or regulatory risk, etc.), provision of advisory or other services, development, monitoring and maintenance of the global system), the Bank may transmit or disclose the Confidential Information to Bank Affiliate or its Representatives ("Citigroup Receiving Party") who have a "need to know" for their collection, processing, transmission or use of such Confidential Information only to the extent necessary to fulfill the Permitted Purposes on the confidential basis.

1.

為花旗集團全球或區域總部基於總機構對子公司之管理事項範疇所為之業務決策及風險管理，例如總行/區域總部層級之分層負責決策、業務統計、分析與規劃、內部控制及稽核、帳務監督管理、財務管理、 帳務勾稽調節、客戶管理、執行認識客戶程序、風險管理(包括但不限於信用風險、作業風險、資訊安全管理、市場風險、流動性風險、法律或法規遵循風險等)、諮詢服務或其他服務之提供、全球系統開發、監控與維護，花旗銀行將「機密資訊」傳遞或揭露予「銀行關係企業」或其「代表人」(以下稱「花旗集團接受方」)有「知悉必要」之人，其得就「機密資訊」予以蒐集、處理、傳遞及利用，惟該等蒐集、處理、傳遞、或利用須以達成相關「許可目的」所需者為限且以機密使用為前提。

2.

For purposes of compliance with the regulatory requirements applicable to the Bank's parent company, Citibank N.A. (including but not limited to US money laundering prevention obligations, compliance with US economic sanctions and the global goal to fight crime, Dodd-Frank Act, the Foreign Account Tax Compliance Act (FATCA) or other US tax laws and regulations, financial and tax reports required by the jurisdiction where Bank's parent company locates, the Bank may transmit or disclose the Confidential Information to Citigroup Receiving Party who have a "need to know" for their collection, processing, transmission or use of such Confidential Information only to the extent necessary to fulfill the Permitted Purposes on the confidential basis.

2.

為符合花旗銀行母行美商花旗銀行股份有限公司所適用之法令要求(包括但不限於美國洗錢防制義務、遵守美國經濟制裁、全球打擊犯罪、 美國「華爾街改革與消費者保護法」(Dodd-Frank Act)、美國「外國帳戶稅務遵守法案」(FATCA)及其他美國稅務法規、母國當地要求之財務及稅務報告等)之目的，花旗銀行將「機密資訊」傳遞或揭露予「花旗集團接受方」有「知悉必要」之人，其得就「機密資訊」予以蒐集、處理、傳遞及利用，惟該等蒐集、處理、傳遞、或利用須以達成相關「許可目的」所需者為限且以機密使用為前提。

The Bank reserves the right to amend or update this declaration from time to time, and any amended or updated declaration will be posted at [https://www.citigroup.com/rcs/citigpa/storage/public/TTS_CBDT_Declaration.pdf], or any other link which may be notified to you.

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