

LINKS TO EXCHANGE RULES

You are responsible for complying with all applicable law, rule and regulation and market practice applicable to each of the exchanges, markets, execution venues and/or trading venues in which you effect transactions or conduct business. You should be aware that breach of any rules of any of the exchanges, markets, execution venues and/or trading venues may result in disciplinary action. This may include fines, public censure, suspension or termination of access.

The information set forth below is a non-exhaustive list of the internet addresses of the rules for many of the exchanges, markets, execution venues or trading venues that may be accessed through the system(s) that enable the provision of electronic services by Citigroup Global Markets Inc., Citigroup Global Markets Limited, Citigroup Global Markets Europe AG, and/or any other affiliate of Citigroup Inc. for the purposes of executing and/or otherwise effecting product transactions. Such information is provided for convenience only. You shall not rely on such information when assessing the requirements of any applicable law, rule and regulation, including, without limitation, any rule of any exchange, market, execution venue or trading venue. Neither Citigroup Inc. nor any affiliate of Citigroup Inc. (collectively, "Citi") undertakes to keep this list up to date. Citi is not responsible for the internet addresses or their content, and Citi makes no representations or warranties as to their quality, suitability, functionality or legality.

Exchange	Internet Address for Rules
APAC	
Australian Securities Investment Commission (ASIC)	Market integrity rules ASIC
Australian Securities Exchange	ASX Operating Rules
Cboe Australia (Australian Market Operator)	CXA-Operating-Rules-v1.91.pdf (cboe.com.au)
Indonesia Stock Exchange	Stock Summary (idx.co.id)
Stock Exchange of Hong Kong	Overview (hkex.com.hk)
Tokyo Stock Exchange (Japan)	Rules Japan Exchange Group (jpx.co.jp)
Nagoya Stock Exchange (Japan)	NSE Market Review
Osaka Exchange (Japan)	Rules Japan Exchange Group (jpx.co.jp)
Tokyo Financial Exchange Inc. (Japan)	Rules & Regulations Tokyo Financial Exchange Inc. (tfx.co.jp)
Cboe Japan	Cboe Japan PTS advancing Japanese equity market
Japannext	Regulations - Japannext Realize Your Choice
Korea Exchange	Global KRX Regulation Regulations
Bursa Malaysia Securities Berhad (Malaysia)	Overview (bursamalaysia.com)
NZX Limited (New Zealand)	https://www.nzx.com/regulation/rules
Philippine Stock Exchange (The Philippines)	REGULATIONS – The Philippine Stock Exchange, Inc. — PSE
Singapore Exchange (Singapore)	Regulation - Singapore Exchange (SGX)
Taipei Exchange (Taiwan)	Taipei Exchange > ETF > Regulations and Announcements > Rules and Regulations (tpex.org.tw)
Taiwan Stock Exchange	Taiwan Stock Exchange — Rules & Regulations Directory (twse.com.tw)
The Stock Exchange of Thailand (Thailand)	SET Regulations
EMEA	
Austria	Index Rules : Vienna Stock Exchange (wienerborse.at) Regulations : Vienna Stock Exchange (wienerborse.at)
Belgium	Regulation euronext.com
Czech Rep	https://www.pse.cz/uploads/u/pse/Legislativa/PSE_Trading_rules.pdf

Denmark	Copenhagen - Nasdaq (nasdaqomxnordic.com)
Dubai	http://www.nasdaqdubai.com/exchange/regulatory-framework/business-rules
Finland	European Rules and Regulations Nasdaq
France	Rules and Regulations euronext.com
Germany	Deutsche Börse Cash Market - Rules & Regulations (deutsche-boerse-cash-market.com)
Greece	Athens Exchange Rulebook (athexgroup.gr) Regulations - athexgroup.gr
Hungary	BSE Rules - Bet site
Iceland	Regulation euronext.com
Ireland	Regulation euronext.com
Israel	TASE Site - Trading Rules & Regulations
Italy	Markets Rules - Borsa Italiana
Netherlands	European Rules and Regulations Nasdaq
Norway	Euronext Regulated Markets euronext.com
Poland	GPW Main Market - Regulations
Portugal	Regulation euronext.com
Russia	Moscow Exchange (moex.com)
South Africa	Market Regulation Johannesburg Stock Exchange (jse.co.za)
Spain	BME Renta Variable (bmerv.es)
Sweden	European Rules and Regulations Nasdaq
Switzerland	Financial Regulatory Services SIX (six-group.com)
Turkey	Borsa Istanbul
UK LSE	London Stock Exchange homepage London Stock Exchange ism-rule-book-2019.pdf (londonstockexchange.com)
UK BATS Pan-European MTF	Cboe European Equities Regulation
CANADA	
Toronto Stock Exchange	TMX TSX TSXV - Trading Rules & Regulations
TSX Venture Exchange	TMX TSX TSXV - TSX Venture Exchange 1465 (tsx.com)
CNSX Markets, Inc, including Pure Trading	CSE - Canadian Securities Exchange (thecse.com)
Rules of IIROC	IIROC Rules IIROC
FUTURES	
EURONEXT including: - LIFFE Amsterdam - LIFFE Paris - LIFFE Brussels - LIFFE Lisbon	https://www.euronext.com/en/regulation/euronext-regulated-markets
ICE including: - ICE Futures Europe (Including Ice London Financials) - ICE Futures US - ICE Futures Canada - European Climate Exchange - ICE Futures Singapore	https://www.theice.com/futures-europe/market-resources https://www.theice.com/publicdocs/futures_canada/Futures_Canada_Disciplinary_Processes.pdf https://www.theice.com/publicdocs/ICE_ECX_getting_started.pdf https://www.theice.com/FuturesEuropeRegulations.shtml https://www.theice.com/futures-us/regulation https://www.theice.com/publicdocs/nyse_liffe_us_rulebook.pdf https://www.theice.com/publicdocs/futures_singapore/circulars/Redline_ICE%20Futures%20Singapore%20Rules.pdf

CME including: - Chicago Mercantile Exchange (CME) - Chicago Board of Trade (CBOT) - New York Mercantile Exchange (NYMEX) - Commodity Exchange Inc (COMEX) - Dubai Mercantile Exchange (DME) - BM&F Bovespa - Kansas City Board of Trade (KCBOT) - Minneapolis Grain Exchange (MGEX) - Green Exchange LLC	https://www.cmegroup.com/market-regulation/rulebook.html
EEX	https://www.eex.com/en/markets/trading-ressources/rules-and-regulations
EUREX	https://www.eurex.com/ex-en/rules-regs/eurex-rules-regulations
Bourse de Montreal	http://www.m-x.ca/publi_regles_en.php
Tokyo Stock Exchange (TSE TDEX)	https://www.jpex.co.jp/english/rules-participants/rules/regulations/index.htm
Hong Kong Futures Exchange (HKFE)	https://www.hkex.com.hk/Services/Rules-and-Forms-and-Fees/Rules/HKFE/Rules?sc_lang=en
ASX 24 (formerly the Sydney Futures Exchange)	https://www2.asx.com.au/about/regulation/rules-guidance-notes-and-waivers/asx-24-operating-rules-guidance-notes-and-waivers
Australian Securities Exchange	https://www2.asx.com.au/about/regulation
Singapore Exchange Derivatives Trading (SGX)	http://rulebook.sgx.com/
Borsa Italiana (IDEM>IDEX)	http://www.borsaitaliana.it/borsaitaliana/regolamenti/regolamenti/regolamentoborsa-istruzionalregolamento.en.htm
Mercado Oficial Esponol de Futuros (MEFF)	https://www.meff.es/ing/Regulation/Market-Regulations
Osaka Securities Exchange (OSE)	https://www.jpex.co.jp/english/rules-participants/rules/regulations/01.html
Tokyo Financial Exchange (TFX)	https://www.tfx.co.jp/en/rules/
National Stock Exchange India Limited (NSE)	https://www.nseindia.com/regulation
Bursa de Malaysia (BDM)	https://www.bursamalaysia.com/regulation/securities/rules_of_bursa_malaysia_securities
Borsa Istanbul Futures	https://www.borsaistanbul.com/en/sayfa/3072/futures
NASDAQ OMX	https://www.nasdaq.com/solutions/rules-regulations-nordic-member-rules
NYSE LIFFE	https://www.theice.com/publicdocs/nyse_liffe_us_rulebook.pdf
EDX London Exchange	https://docs.londonstockexchange.com/sites/default/files/documents/rules-of-the-london-stock-exchange-effective-1-january-2021.pdf
London Metals Exchange (LME)	https://www.lme.com/Company/Market-Regulation/Rules/Rule-book
Taiwan Futures Exchange (TAIFEX)	https://www.taifex.com.tw/en/eng6/ruleRegulation
Mercado Mexicano de Productos Derivados (MEXDER)	http://www.mexder.com.mx/wb3/wb/MEX/modificacion_mexder_norte_sud_con_cme/_rid/5?language=en&lng_act=lng_step2
Korean Futures Exchange (KRX)	https://global.krx.co.kr/contents/GLB/06/0601/0601000000/GLB0601000000.jsp
Mumbai Bombay Stock Exchange (BSE)	https://www.bseindia.com/members/downloads.aspx