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SEC Rule 606 Disclosure

In accordance with SEC Rule 606, Citigroup Global Markets Inc. is making publicly available quarterly reports on the routing by Citigroup Global Markets Inc. of non-directed orders in NMS stocks that are submitted on a held basis and of non-directed orders that are customer orders in NMS securities that are option contracts. The reports include the following sections as required by SEC Rule 606: (1) a section for NMS stocks, separated by securities that are included in the S&P 500 Index as of the first day of that quarter and other NMS stocks, and (2) a section for NMS securities that are option contracts. The reports also, among other things, set forth percentages of various types of non-directed orders that were routed for execution, identify the venues to which significant amounts of non-directed orders were routed for execution, and discuss the material aspects of Citigroup Global Markets Inc.'s relationship with the venues.

Citigroup Global Markets Inc. prepares two separate reports each quarter (beginning with the second quarter of 2023) to provide a clearer picture of the order routing practices of two divisions at Citigroup Global Markets Inc.—one report that applies only to orders submitted by customers of the Institutional Clients Group and one report that applies only to orders submitted by customers of Citi Global Wealth Management.

The data required to be presented in the reports by SEC Rule 606 is intended only to provide a general overview of order routing practices. Accordingly, the data required to be presented in the reports by SEC Rule 606, alone, is not a reliable basis upon which to assess the satisfaction of a broker-dealer's best execution obligation.

Though the data presented in the reports have been obtained from sources believed to be reliable, the data presented in the reports are not subject to complete and ongoing audits and, as such, may contain errors, inadvertent omissions, or the like. Citigroup Global Markets Inc. and its affiliates disclaim liability for any direct, indirect, punitive, special, consequential, or incidental damages related to the reports or the use of the reports.

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